Parallel Computation of the Minimal Elements of a Poset

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Abstract
Computing the minimal elements of a partially ordered finite set (poset) is a fundamental problem in combinatorics with numerous applications such as polynomial expression optimization, transversal hypergraph generation and redundant component removal, to name a few. We propose a divide-and-conquer algorithm which is not only cache-oblivious but also can be parallelized free of data races. We have implemented it in Cilk++ targeting multi-cores. For our test problems of sufficiently large input size our code demonstrates a linear speedup on 32 cores.

Categories and Subject Descriptors
G.4 [Mathematical Software]: Parallel and vector implementations; G.2.2 [Graph Theory]: Hypergraphs

General Terms
Algorithms, Theory

Keywords
Partial ordering, minimal elements, multithreaded parallelism, Cilk, polynomial evaluation, transversal hypergraph

1. Introduction
Partially ordered sets arise in many topics of mathematical sciences. Typically, they are one of the underlying algebraic structures of a more complex entity. For instance, a finite collection of algebraic sets \( V = \{ V_1, \ldots, V_e, \ldots \} \) (subsets of some affine space \( \mathbb{K}^n \) where \( \mathbb{K} \) is an algebraically closed field) naturally forms a partially ordered set (poset, for short) for the set-theoretical inclusion. We have implemented it in Cilk++ targeting multi-cores. For our test problems of sufficiently large input size our code demonstrates a linear speedup on 32 cores.

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nomial expression optimization in Section 4 and transversal hypergraph generation in Section 5. In each case, we generate the poset in a divide-and-conquer manner and at the same time we compute its minimal elements. Since, for these two applications, the number of minimal elements is in general much smaller than the poset cardinality, this strategy turns out to be very effective and allows computations that could not be conducted otherwise.

This article is dedicated to Claude Berge (1926 - 2002) who introduced the third author to the combinatorics of sets.

2. THE ALGORITHM

We start by reviewing the notion of a partially ordered set. Let \( \mathcal{X} \) be a set and \( \preceq \) be a partial order on \( \mathcal{X} \), that is, a binary relation on \( \mathcal{X} \) which is reflexive, antisymmetric, and transitive. The pair \((\mathcal{X}, \preceq)\) is called a partially ordered set, or poset for short. If \( A \) is a subset of \( \mathcal{X} \), then \((A, \preceq)\) is the poset induced by \((\mathcal{X}, \preceq)\) on \( A \). When clear from context, we will often write \( A \) instead of \((A, \preceq)\).

Here are a few examples of posets:

1. \((\mathbb{Z}, |)\) where \(|\) is the divisibility relation in the ring \( \mathbb{Z} \) of integer numbers,
2. \((\mathbb{P}, \subseteq)\) where \(\subseteq\) is the inclusion relation in the ranked lattice of all subsets of a given finite set \( \mathcal{S} \),
3. \((\mathcal{C}, \preceq)\) where \(\preceq\) is the inclusion relation for the set \( \mathcal{C} \) of all algebraic curves in the affine space of dimension 2 over the field of complex numbers.

An element \( x \in \mathcal{X} \) is minimal for \((\mathcal{X}, \preceq)\) if for all \( y \in \mathcal{X} \) we have: \( y \preceq x \Rightarrow y = x \). The set of elements \( x \in \mathcal{X} \) which are minimal for \((\mathcal{X}, \preceq)\) is denoted by \( \text{Min}(\mathcal{X}, \preceq) \), or simply \( \text{Min}(\mathcal{X}) \).

From now on we assume that \( \mathcal{X} \) is finite.

Algorithms 1 and 2 compute \( \text{Min}(\mathcal{X}) \) respectively in a sequential and parallel fashion. Before describing these algorithms in more details let us first specify the programming model and data-structures. We adopt the multi-threaded programming model of Cilk [10]. In our pseudo-code, the keywords \texttt{spawn} and \texttt{sync} have the same semantics as the \texttt{cilk spawn} and \texttt{cilk sync} in the \texttt{Cilk++} programming language [15]. We assume that the subsets of \( \mathcal{X} \) are implemented by a data-structure which supports the following operations for any subsets \( A, B \) of \( \mathcal{X} \):

- \texttt{Split:} if \(|A| \geq 2\) then \texttt{Split}(\( A \)) returns a partition \( A^-, A^+ \) of \( A \) such that \(|A^-| \) and \(|A^+| \) differ at most by 1.
- \texttt{Union:} \texttt{Union}(\( A, B \)) accepts two disjoint sets \( A, B \) and returns \( C \) where \( C = A \cup B \).

In addition, we assume that each subset \( A \) of \( \mathcal{X} \), with \( k = |A| \), is encoded in a \texttt{C/C++} fashion by an array \( a \) of size \( \ell \geq k \). An element in \( A \) can be marked at trivial cost.

In Algorithm 1, this data-structure supports a straight-forward sequential implementation of the computation of \( \text{Min}(A) \), which follows from this trivial observation: an element \( a_i \in A \) is minimal for \( \preceq \) if for all \( j \neq i \) the relation \( a_j \preceq a_i \) does not hold. However, due to the dependencies between iterations of the inner loop, Algorithm 1 can not be parallelized without using locks. In addition, and unless the the input data fits in cache, Algorithm 1 is not cache-efficient. We shall return to this point in Section 3.

Algorithm 2 follows the cache-oblivious philosophy introduced in [9]. More precisely, and similarly to the matrix multiplication algorithm of [9], Algorithm 2 proceeds in a divide-and-conquer fashion such that when a subproblem fits into the cache, then all subsequent computations can be performed with no further cache misses. However, Algorithm 2, and other algorithms in this paper, use a threshold such that, when the size of the input is within this threshold, then a base case subroutine is called. In principle, this threshold can be set to the smallest meaningful value, say 1, and thus Algorithm 2 is cache-oblivious. In a software implementation, this threshold should be large enough so as to reduce parallelization overheads and recursive call overheads. Meanwhile, this threshold should be small enough in order to guarantee that, in the base case, cache misses are limited to cold misses. In the implementation of the matrix multiplication algorithm of [9], available in the \texttt{Cilk++} distribution, a threshold is used for the same purpose.

In Algorithm 2, when \(|A| \leq \text{MIN BASE} \), where \text{MIN BASE} is the threshold, Algorithm 1 is called. Otherwise, we partition \( A \) into a balanced pair of subsets \( A^-, A^+ \). By balanced pair, we mean that the cardinalities \(|A^-|\) and \(|A^+|\) differ at most by 1. The two recursive calls on \( A^- \) and \( A^+ \) in Lines 4 and 5 of Algorithm 2 will compare the elements in \( A^- \) and \( A^+ \) separately. Thus, they can be executed in parallel and free of data races. In Lines 4 and 5 we overwrite each input subset with the corresponding output one so that at Line 6 we have \( A^- = \text{Min}(A^-) \) and \( A^+ = \text{Min}(A^+) \). Line 6 is a synchronization point which ensures that the computations in Lines 4 and 5 must complete before starting the procedure in Line 7. Next we make cross-comparisons between \( A^- \) and \( A^+ \), by means of the operation \texttt{ParallelMinMerge} of Algorithm 3.

We also apply a divide-and-conquer-with-threshold strategy for the operation \texttt{ParallelMinMerge} in Algorithm 3, which takes as input a pair \( B, C \) of subsets of \( \mathcal{X} \), such that \( \text{Min}(B) = B \) and \( \text{Min}(C) = C \) hold. Note that this pair is not necessarily balanced. This leads to the following four cases in Algorithm 3, depending on the values of \(|B|\) and \(|C|\) w.r.t. the threshold \text{MIN\_MERGE\_BASE}.

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### Algorithm 1: \text{SerialMinPoset}

<table>
<thead>
<tr>
<th>Input</th>
<th>a poset ( A )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output</td>
<td>( \text{Min}(A) )</td>
</tr>
<tr>
<td>1</td>
<td>for ( i ) from 0 to (</td>
</tr>
<tr>
<td>2</td>
<td>if ( a_i ) is unmarked then</td>
</tr>
<tr>
<td>3</td>
<td>for ( j ) from ( i+1 ) to (</td>
</tr>
<tr>
<td>4</td>
<td>if ( a_j ) is unmarked then</td>
</tr>
<tr>
<td>5</td>
<td>if ( a_i \preceq a_j ) then mark ( a_i ) and break inner loop;</td>
</tr>
<tr>
<td>6</td>
<td>if ( a_i \preceq a_j ) then mark ( a_j );</td>
</tr>
<tr>
<td>9</td>
<td>( A \leftarrow { \text{unmarked elements in } A } );</td>
</tr>
<tr>
<td>10</td>
<td>return ( A );</td>
</tr>
</tbody>
</table>

### Algorithm 2: \text{ParallelMinPoset}

<table>
<thead>
<tr>
<th>Input</th>
<th>a poset ( A )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output</td>
<td>( \text{Min}(A) )</td>
</tr>
<tr>
<td>1</td>
<td>if (</td>
</tr>
<tr>
<td>2</td>
<td>return \text{SerialMinPoset}(( A ));</td>
</tr>
<tr>
<td>3</td>
<td>( (A^-), (A^+) \leftarrow \text{Split}(( A ));</td>
</tr>
<tr>
<td>4</td>
<td>( A^- \leftarrow \text{spawn} \text{ParallelMinPoset}(( A^- ));</td>
</tr>
<tr>
<td>5</td>
<td>( A^+ \leftarrow \text{spawn} \text{ParallelMinPoset}(( A^+ ));</td>
</tr>
<tr>
<td>6</td>
<td>\text{sync};</td>
</tr>
<tr>
<td>7</td>
<td>( (A^-, A^+) \leftarrow \text{ParallelMinMerge}(( A^-, A^+ ));</td>
</tr>
<tr>
<td>8</td>
<td>return \text{Union}(( A^-, A^+ ));</td>
</tr>
</tbody>
</table>
Case 1: both $|B|$ and $|C|$ are no more than MIN MERGE BASE. We simply call the operation SerialMinMerge of Algorithm 4 which cross-compares the elements of $B$ and $C$ in order to remove the larger ones in each of $B$ and $C$. The minimal elements from $B$ and $C$ are stored separately in an ordered pair (the same order as the input) to remember the provenance of each result. In Cases 2, 3 and 4, this output specification helps clarifying the successive cross-comparisons when the input posets are divided into subsets.

**Algorithm 3: ParallelMinMerge**

Input : $B, C$ such that $\text{Min}(B) = B$ and $\text{Min}(C) = C$ hold  
Output : $(E, F)$ such that $E \cup F = \text{Min}(B \cup C)$,  
$E \subseteq B$ and $F \subseteq C$ hold  
1 if $|B| \leq \text{MIN MERGE BASE} and \ $ |C| \leq \text{MIN MERGE BASE} then  
2 return SerialMinMerge$(B, C)$;  
else if $|B| > \text{MIN MERGE BASE}$ and \ $|C| > \text{MIN MERGE BASE}$ then  
6 $(B^-, B^+) \leftarrow \text{Split}(B);$  
7 $(C^-, C^+) \leftarrow \text{Split}(C);$  
8 $(B^-, C^-) \leftarrow \text{spawn}$  
9 ParallelMinMerge$(B^-, C^-);$  
10 $(B^+, C^+) \leftarrow \text{spawn}$  
11 ParallelMinMerge$(B^+, C^+);$  
12 sync;  
13 $(B^-, C^-) \leftarrow \text{spawn}$  
14 ParallelMinMerge$(B^-, C^-);$  
15 $(B^+, C^-) \leftarrow \text{spawn}$  
16 ParallelMinMerge$(B^+, C^-);$  
17 sync;  
18 return $(\text{Union}(B^-, B^+), \text{Union}(C^-, C^+));$  
else if $|B| > \text{MIN MERGE BASE}$ and \ $|C| \leq \text{MIN MERGE BASE}$ then  
21 $(B^-, B^+) \leftarrow \text{Split}(B);$  
22 $(B^-, C^-) \leftarrow \text{ParallelMinMerge}(B^-, C^-);$  
23 $(B^+, C^-) \leftarrow \text{ParallelMinMerge}(B^+, C^-);$  
24 return $(\text{Union}(B^-, B^+), C^-);$  
else  
26 // $|B| \leq \text{MIN MERGE BASE}$ and \ $|C| > \text{MIN MERGE BASE}$  
27 $(C^-, C^+) \leftarrow \text{Split}(C);$  
28 $(B, C^-) \leftarrow \text{ParallelMinMerge}(B, C^-);$  
29 $(B, C^+) \leftarrow \text{ParallelMinMerge}(B, C^+);$  
30 return $(B, \text{Union}(C^-, C^+));$

Case 2: both $|B|$ and $|C|$ are greater than MIN MERGE BASE. We split $B$ and $C$ into balanced pairs of subsets $B^-, B^+$ and $C^-, C^+$ respectively. Then, we recursively merge these 4 subsets, as described in Lines 8–14 in Algorithm 3. Merging $B^-, C^-$ and merging $B^+, C^+$ can be executed in parallel without data races. These two computations complete half of the cross-comparisons between $B$ and $C$. Then, we perform the other half of the cross-comparisons between $B$ and $C$ by merging $B^-, C^+$ and merging $B^+, C^-$ in parallel. At the end, we return the union of the subsets from $B$ and the union of the subsets from $C$.

**Algorithm 4: SerialMinMerge**

Input : $B, C$ such that $\text{Min}(B) = B$ and $\text{Min}(C) = C$ hold  
Output : $(E, F)$ such that $E \cup F = \text{Min}(B \cup C)$,  
$E \subseteq B$ and $F \subseteq C$ hold  
1 if $|B| = 0$ or $|C| = 0$ then  
2 return $(B, C);$  
else if for $i$ from 0 to $|B| - 1$ do  
3 if $c_i$ is unmarked then  
4 mark $b_i$ and break inner loop;  
5 return $(B, C);$  
else if for $j$ from 0 to $|C| - 1$ do  
6 if $c_j \leq b_i$ then  
7 mark $c_j$;  
8 return $(B, C);$  
9 $B \leftarrow \text{unmarked elements in } B);$  
10 $C \leftarrow \text{unmarked elements in } C);$  
11 return $(B, C);$  

Case 3, 4: either $|B|$ or $|C|$ is greater than MIN MERGE BASE, but not both. Here, we split the larger set into two subsets and make the appropriate cross-comparisons via two recursive calls, see Lines 15–25 in Algorithm 3.

3. COMPLEXITY ANALYSIS AND EXPERIMENTATION

We shall establish a worst case complexity for the work, the span and the cache complexity of Algorithm 2. More precisely, we assume that the input poset of this algorithm has $n \geq 1$ elements, which are pairwise incomparable for $\preceq$, that is, neither $x \preceq y$ nor $y \preceq x$ holds for all $x \neq y$. Our running time is estimated by counting the number of comparisons, that is, the number of times that the operation $\leq$ is invoked. The costs of all other operations are neglected. The principle of Algorithm 2 is similar to that of a parallel merge-sort algorithm with a parallel merge subroutine, which might suggest that the analysis is standard. The use of thresholds requires, however, a bit of care.

We introduce some notations. For Algorithms 1 and 2 the size of the input is $|A|$ whereas for Algorithms 3 and 4 the size of the input is $|B| + |C|$. We denote by $W_1(n), W_2(n), W_3(n)$ and $W_4(n)$ the work of Algorithms 1, 2, 3 and 4, respectively, on an input of size $n$. Similarly, we denote by $S_1(n), S_2(n), S_3(n)$ and $S_4(n)$ the span of Algorithms 1, 2, 3 and 4, respectively, on an input of size $n$. Finally, we denote by $N_2$ and $N_3$ the thresholds MIN BASE and MIN MERGE BASE, respectively.

Since Algorithm 4 is sequential and under our worst case assumption, we clearly have $W_4(n) = S_4(n) = \Theta(n^2)$. Similarly, we have $W_1(n) = S_1(n) = \Theta(n^2)$.

Observe that, under worst case assumption, the cardinalities of the input sets $B, C$ differ at most by 1 in both Algorithms 3 and 4. Hence, the work of Algorithm 3 satisfies:

$$W_3(n) = \begin{cases} W_4(n) & \text{if } n \leq N_3 \\ 4W_4(n/2) & \text{otherwise.} \end{cases}$$

This implies: $W_3(n) \leq 4^{\log_2(n/N_3)} N_3^2$ for all $n$. Thus we have $W_3(n) = O(n^2)$. On the other hand, our assumption implies that every element of $B$ needs to be compared with every element of $C$. 

This implies: $S_3(n) = O(n^2)\text{ holds. Now, the span satisfies:}$

$$S_3(n) = \begin{cases} 2S_2(n/2) & \text{if } n \leq N_2 \\ S_3(n) & \text{otherwise.} \end{cases}$$

This implies: $S_3(n) \leq 2^{\log_2(n/N_3)}N_3^2$ for all $n$. Thus we have $S_3(n) = O(nN_3)$.

Next, the work of Algorithm 2 satisfies:

$$W_2(n) = \begin{cases} W_1(n) & \text{if } n \leq N_2 \\ 2W_2(n/2) + W_1(n) & \text{otherwise.} \end{cases}$$

This implies: $W_2(n) \leq 2^{\log_2(n/N_2)}N_2^2 + \Theta(n^2)$ for all $n$. Thus we have $W_2(n) = O(nN_2) + \Theta(n^2)$.

Finally, the span of Algorithm 2 satisfies:

$$S_2(n) = \begin{cases} S_1(n) & \text{if } n \leq N_2 \\ S_2(n/2) + S_3(n) & \text{otherwise.} \end{cases}$$

Thus we have $S_2(n) = O(N_2^2 + nN_3)$. Moreover, for $N_3 = N_2 = 1$, we have $S_2(n) = \Theta(n)$.

We proceed now with cache complexity analysis, using the ideal cache model of [9]. We consider a cache of $Z$ words where each cache line has $L$ words. For simplicity, we assume that the elements of a given poset are packed in an array, occupying consecutive slots, each of size 1 word. We focus on Algorithms 2 and 3, denoting by $Q_2(n)$ and $Q_3(n)$ the number of cache misses that they incur respectively on an input data of size $n$. We assume that the thresholds in Algorithms 2 and 3 are set to 1. Indeed, Algorithms 1 and 4 are not cache-efficient. Both may incur $\Theta(n^2/L)$ cache misses, for $n$ large enough, whereas $Q_2(n) \in O(n(L + n^2/(ZL)))$ and $Q_3(n) \in O(n^2/(ZL))$ hold, as we shall prove now. Observe first that there exist positive constants $\alpha_2$ and $\alpha_3$ such that we have:

$$Q_2(n) = \begin{cases} \Theta(n/L + 1) & \text{if } n \leq \alpha_2 Z \\ 2Q_2(n/2) + Q_3(n) + \Theta(1) & \text{otherwise.} \end{cases}$$

and:

$$Q_3(n) = \begin{cases} \Theta(n/L + 1) & \text{if } n \leq \alpha_3 Z \\ 4Q_3(n/2) + \Theta(1) & \text{otherwise.} \end{cases}$$

This implies: $Q_3(n) \leq 4^{\log_2(n/(\alpha_3Z))}\Theta(Z/L)$ for all $n$, since $Z \in \Omega(L^2)$ holds. Thus we have $Q_3(n) \in O(n^2/(ZL))$. We deduce:

$$Q_2(n) \leq 2^k\Theta(Z/L) + \sum_{i=0}^{\log_2(n/(\alpha_3Z))} 2^i Q_3(n/2^i) + \Theta(2^k)$$

where $k = \log_2(n/(\alpha_3Z))$. This leads to: $Q_3(n) \leq O(n(L + n^2/(ZL)))$. Therefore, we have proved the following result.

**Proposition 1.** Assume that $X$ has $n \geq 1$ elements, such that neither $x \preceq y$ nor $y \preceq x$ holds for all $x, y \in X$. Set the thresholds in Algorithms 2 and 3 to 1. Then, the work, span and cache complexity of $\text{Min}(X)$, as computed by Algorithm 2, are $\Theta(n^2)$, $\Theta(n)$ and $O(n(L + n^2/(ZL)))$, respectively.

We leave for a forthcoming paper other types of analysis such as average case algebraic complexity. We turn now our attention to experimentation.

We have implemented the operation $\text{ParallelMinPoset}$ of Algorithm 2 as a template function in Cilk++. It is designed to work for any poset providing that a method $\text{Compare}(a_i, a_j)$ for determining any two elements $a_i$ and $a_j$, whether $a_j \preceq a_i$, or $a_i \preceq a_j$, or $a_i$ and $a_j$ are incomparable. Our code offers two data structures for encoding the subsets of the poset $X$: one is based on arrays and the other uses the bag structure introduced by the first Author in [20].

For the benchmarks reported in this section, $X$ is a finite set of natural numbers compared for the divisibility relation. For example, the set of the minimal elements of $X = \{6, 2, 7, 3, 5\}$ is $\{2, 3, 7, 5\}$. Clearly, we implement natural numbers using the type $\text{int}$ of C/C++. Since checking of integer divisibility is cheap, we expect that these benchmarks could illustrate the intrinsic parallel efficiency of our algorithm.

We have benchmarked our program on sets of random numbers, with sizes ranging from 50,000 to 500,000 on a 32-core machine at SHARCNET 1. This machine has 8 Quad Core AMD Opteron 8354 @ 2.2 GHz connected by 8 sockets. Each core has 64 KB L1 data cache and 512 KB L2 cache. Every four cores share 2 MB of L3 cache. The total memory is 128.0 GB. We have compared the timings with $\text{MIN BASE}$ and $\text{MIN MERGE BASE}$ being 8, 16, 32, 64 and 128 for different sizes of input. As a result, we choose 64 for both $\text{MIN BASE}$ and $\text{MIN MERGE BASE}$ to reach the best timing for all the test cases.

Figure 1 shows the results measured by the Cilkview [14] scalability analyzer for computing the minimal elements of 100,000 and 500,000 random natural numbers. The reference sequential algorithm for the speedup is Algorithm 2 running on 1 core; the running time of this latter code differs only by 0.5% or 1% from the C elision of Algorithm 2. On 1 core, the timing for computing the

1http://www.sharcnet.ca
minimal elements of 100,000 and 500,000 random natural numbers is respectively 260 and 454 seconds, which is slightly better (0.5%) than Algorithm 1. The number of minimal elements for the two sets of random natural numbers is respectively 99,910 and 498,589. These results demonstrate the abundant parallelism created by our divide-and-conquer algorithm and the very low parallel overhead of our program in Cilk++. We have also used Cilkview to check that our program is indeed free of data races.

4. POLYNOMIAL EXPRESSION OPTIMIZATION

We present an application where the poset can be so large that it is desirable to compute its minimal elements concurrently to the generation of the poset itself, thus avoiding storing the entire poset in memory. As we shall see this approach is very successful for this application.

We briefly describe this application which arises in the optimization of polynomial expressions. Let \( f \in \mathbb{K}[X] \) be a multivariate polynomial with coefficients in a field \( \mathbb{K} \) and with variables in \( X = \{x_1, \ldots, x_n\} \). We assume that \( f \) is given as the sum of its terms, say \( f = \sum_{m \in \text{monom}(f)} c_m m \), where \( \text{monom}(f) \) denotes the set of the monomials of \( f \) and \( c_m \) is the coefficient of \( m \) in \( f \).

A key procedure in this application computes a partial syntactic factorization of \( f \), that is, three polynomials \( g, h, r \in \mathbb{K}[X] \), such that \( f \) writes \( gh + r \) and the following two properties hold: (1) every term in the product \( gh \) is the product of a term of \( g \) and a term of \( h \), (2) the polynomials \( g \) and \( h \) have no common monomials. It is easy to see that if both \( g \) and \( h \) are not constant and one has at least two terms, then evaluating \( f \) represented as \( gh + r \) requires less additions and multiplications in \( \mathbb{K} \) than evaluating \( f \) represented as \( \sum_{m \in \text{monom}(f)} c_m m \), that is, as the sum of its terms. Consider for instance the polynomial \( f = ax + ay + az + by + bz \in \mathbb{Q}[x, y, z, a, b] \). One possible partial syntactic factorization is \( (g, h, r) = (a+b, y+z, az) \) since we have \( f = (a+b)(y+z)+ax \) and since the above two properties are clearly satisfied. Evaluating \( f \) after specializing \( x, y, z, a, b \) to numerical values will amount to 9 additions and multiplications in \( \mathbb{Q} \) with \( f = ax + ay + az + by + bz \) while 5 are sufficient with \( f = (a+b)(y+z) + ax \).

One economic and popular approach to reduce the size of polynomial expression and facilitate their evaluation is to use Horner’s rule. This high-school trick well-known for univariate polynomials is extended to multivariate polynomials via different schemes [4, 21, 22, 5]. However, it is difficult to compare these extensions and obtain an optimal scheme from any of them. Indeed, they all rely on selecting an appropriate ordering of the variables. Unfortunately, there are \( n! \) possible orderings for \( n \) variables, which limits this approach to polynomials with moderate number of variables.

In [19], given a finite set \( M \) of monomials in \( x_1, \ldots, x_n \), the authors propose an algorithm for computing a partial syntactic factorization \( (g, h, r) \) of \( f \) such that \( \text{monom}(g) \subseteq M \) holds. The complexity of this algorithm is polynomial in \( |M|, n, d \) where \( d \) and \( t \) are the total degree and number of terms of \( f \), respectively. One possible choice for \( M \) would consist in taking all monomials dividing a term in \( f \). The resulting base monomial set \( M \) would often be too large since the targeted \( n \) and \( d \) in practice are respectively in the ranges \( 4 \cdot 16 \) and \( 2 \cdot 10 \), which would lead \( |M| \) to be in the order of thousands or even millions. In [19], the set \( M \) is computed in the following way:

1. Compute \( G \) the set of all non-constant \( \text{gcd}(m_1, m_2) \) where \( m_1, m_2 \) are any two monomials of \( f \), with \( m_1 \neq m_2 \),
2. Compute the minimal elements of \( G \) for the divisibility relation of monomials.

In practice, this strategy produces a more efficient evaluation representation comparing to the Horner’s rule based polynomial expression optimization methods. However, there is an implementation challenge. Indeed, in practice, the number of terms of \( f \) is often in the thousands, which implies that \( |G| \) could be in the millions.

This has led to the design of a procedure presented through Algorithms 5, 6, 7 and 8, where \( G \) and \( \text{Min}(G) \) are computed concurrently in a way that the whole set \( G \) does not need to be stored. The proposed procedure is adapted from Algorithms 1, 2, 3 and 4. The top-level routine is Algorithm 5 which takes as input a set \( A \) of monomials. In practice one would first call this routine with \( A = \text{monoms}(f) \). Algorithm 5 integrates the computation of \( G \) and \( M \) (as defined above) into a “single pass” divide-and-conquer process. In Algorithms 5, 6, 7 and 8, we assume that monomials support the operations listed below, where \( m_1, m_2 \) are monomials:

- \( \text{Compare}(m_1, m_2) \) returns 1 if \( m_1 \) divides \( m_2 \) (that is, if \( m_2 \) is a multiple of \( m_1 \)) and returns \(-1\) if \( m_2 \) divides \( m_1 \).
- \( \text{gcd}(m_1, m_2) \) computes the \( \text{gcd} \) of \( m_1 \) and \( m_2 \).

In addition, we have a data-structure for monomial sets which support the following operations, where \( A, B \) are monomial sets.

- \( \text{innerPairsGcds}(A) \) computes \( \text{gcd}(a_1, a_2) \) for all \( a_1, a_2 \in A \) where \( a_1 \neq a_2 \) and returns the non-constant values only.
- \( \text{crossPairsGcds}(A, B) \) computes \( \text{gcd}(a, b) \) for all \( a \in A \) and for all \( b \in B \) and returns the non-constant values only.
- \( \text{SerialInnerBaseMonomials}(A) \) first calls \( \text{innerPairsGcds}(A) \), and then passes the result to \( \text{SerialMinPoset} \) of Algorithm 1.
- \( \text{SerialCrossBaseMonomials}(A, B) \) applies \( \text{SerialMinPoset} \) to the result of \( \text{crossPairsGcds}(A, B) \).

With the above basic operations, we can now describe our divide-and-conquer method for computing the base monomial set of \( A \), that is, \( \text{Min}(G_A) \), where \( G_A \) consists of all non-constant \( \text{gcd}(a_1, a_2) \) for all \( a_1, a_2 \in A \) and \( a_1 \neq a_2 \). The top-level function is \( \text{ParallelBaseMonomial} \) of Algorithm 5. If \( |A| \) is within a threshold, namely \( \text{MIN BASE} \), the operation \( \text{SerialInnerBaseMonomials}(A) \) is called. Otherwise, we partition \( A \) as \( A^- \cup A^+ \) and observe that

\[
\text{Min}(G_A) = \text{Min}(\text{Min}(G_{A^-}) \cup \text{Min}(G_{A^+})) \cup \text{Min}(G_{A^- \setminus A^+})
\]

holds where \( G_{A^- \setminus A^+} \) consists of all non-constant \( \text{gcd}(x, y) \) for \( (x, y) \in A^- \times A^+ \). Following the above formula, we create two computational branches: (1) one for \( \text{Min}(\text{Min}(G_{A^-}) \cup \text{Min}(G_{A^+})) \) which is computed by the operation \( \text{SelfBaseMonomials} \) of Algorithm 6; (2) one for \( \text{Min}(G_{A^- \setminus A^+}) \) which is computed by the operation \( \text{CrossBaseMonomials} \) of Algorithm 7. Algorithms 6 and 7 proceed in a divide-and-conquer manner:

- Algorithm 6 makes two recursive calls in parallel, then merges their results with Algorithm 3.
- Algorithm 7 uses a threshold. In the base case, computations are performed serially. Otherwise, both input monomial sets are split evenly then processed via two concurrent calls to Algorithm 8, whose results are merged with Algorithm 3.
- Algorithm 8 simply performs two concurrent calls to Algorithm 7 whose results are merged with Algorithm 3.
Algorithm 5: ParallelBaseMonomials

Input : a monomial set $A$
Output : $\text{Min}(G_A)$ where $G_A$ consists of all non-constant gcd$(a_1, a_2)$ for $a_1, a_2 \in A$ and $a_1 \neq a_2$
1 if $|A| \leq \text{MIN BASE}$ then
  2 return SerialInnerBaseMonomials($A$);
else
  4 $(A^-, A^+)$ $\leftarrow$ Split($A$);
  5 $B \leftarrow$ spawn SelfBaseMonomials($A^-, A^+$);
  6 $C \leftarrow$ spawn CrossBaseMonomials($A^-, A^+$);
  7 sync;
  8 $(D_1, D_2) \leftarrow$ ParallelMinMerge($B, C$);
  9 return Union($D_1, D_2$);

Algorithm 6: SelfBaseMonomials

Input : two disjoint monomial sets $B, C$
Output : $\text{Min}(G_{B \cap C})$ where $G_{B \cap C}$ consists of all non-constant gcd$(x, y)$ for $x, y \in B$ (resp. $C$) with $x \neq y$
1 $E \leftarrow$ spawn ParallelBaseMonomials($B$);
2 $F \leftarrow$ spawn ParallelBaseMonomials($C$);
3 sync;
4 $(D_1, D_2) \leftarrow$ ParallelMinMerge($E, F$);
5 return Union($D_1, D_2$);

Algorithm 7: CrossBaseMonomials

Input : two disjoint monomial sets $B, C$
Output : $\text{Min}(G_{B \cap C})$ where $G_{B \cap C}$ consists of all non-constant gcd$(b, c)$ for $(b, c) \in B \times C$
1 if $|B| \leq \text{MIN MERGE BASE}$ then
  2 return SerialCrossBaseMonomials($B, C$);
else
  4 $(B^-, B^+)$ $\leftarrow$ Split($B$);
  5 $(C^-, C^+)$ $\leftarrow$ Split($C$);
  6 $E \leftarrow$ spawn ParallelBaseMonomials($B^-, C^-$);
  7 $F \leftarrow$ spawn ParallelBaseMonomials($B^+, C^+$);
  8 $(B^-, B^+)$ $\leftarrow$ ParallelBaseMonomials($B^-, C^-$);
  9 $(B^+, B^+)$ $\leftarrow$ ParallelBaseMonomials($B^+, C^+$);
10 sync;
11 $(D_1, D_2) \leftarrow$ ParallelMinMerge($E, F$);
12 return Union($D_1, D_2$);

Algorithm 8: HalfCrossBaseMonomials

Input : four monomial sets $A, B, C, D$ pairwise disjoint
Output : $\text{Min}(G_{A \cup B \cup C \cup D})$ where $G_{A \cup B}$ (resp. $G_{C \cup D}$) consists of all non-constant gcd$(x, y)$ for $(x, y) \in A \times B$ (resp. $C \times D$)
1 $E \leftarrow$ spawn CrossBaseMonomials($A, B$);
2 $F \leftarrow$ spawn CrossBaseMonomials($C, D$);
3 sync;
4 $(G_1, G_2) \leftarrow$ ParallelMinMerge($E, F$);
5 return Union($G_1, G_2$);

We have implemented these algorithms in Cilk++. A monomial is represented by an exponent vector. Each entry of an exponent vector is an unsigned int. A set $A$ of input monomials is represented by an array of $|A|$ $n$ unsigned int's where $n$ is the number of variables. Accessing the elements in $A$ is simply by indexing.

When the divide-and-conquer process reaches the base cases, at Lines 1–2 in Algorithm 5 and lines 1–2 in Algorithm 7, we compute either innerPairsGcds($A$) or crossPairsGcds($B, C$), followed by the computation of the minimal elements of these gcds. Here, we allocate dynamically the space to hold the gcds. Each execution of innerPairsGcds($A$) allocates memory for $|A|(|A| - 1)/2$ gcds. Each execution of crossPairsGcds($B, C$) allocates space for $|B||C|$ gcds. The size of these allocated memory spaces in the base cases is rather small, which, ideally, should fit in cache. Right after computing the gcds, we compute the minimal elements of these gcds in place. In other words, we remove those gcds which are not minimal for the divisibility relation. In the Union operations, for example the Union($D_1, D_2$) in Line 9 in Algorithm 5, we reallocate the larger one between $D_1$ and $D_2$ to accommodate $|D_1| + |D_2|$ monomials and free the space of the smaller one. This dynamical-memory management strategy combined with the divide-and-conquer technique permits us to handle large sets of monomials otherwise impossible. This is confirmed by the benchmarks of our implementation.
Figure 2 gives the scalability analysis results by Cilkview on computing the base monomial sets of two large monomial sets. One has 14869 monomials with 28 variables, shown in the top. The number of minimal elements here is 14. Both of MIN_BASE and MIN_MERGE_BASE are 64. Its timing on 1 core is about 3.5 times less than the serial loop method, which is the function SerialInnerBaseMonomials. Using 32 cores we gain a speedup factor of 27 with respect to the timing on 1 core. Another monomial set has 38860 monomials with 30 variables. There are 15 minimal elements. The serial loop method for this case aborted due to memory allocation failure. However, our parallel execution reaches 30 speedup on 32 cores. We also notice that the ideal parallelism and the lower performance bound estimated by Cilkview for both benchmarks are very high but our measured speedup curve is lower than the lower performance bound. We attribute this performance degradation to the cost of our dynamic memory allocation.

5. TRANSVERSAL HYPERGRAPH GENERATION

Hypergraphs generalize graphs in the following way. A hypergraph \( H \) is a pair \((V, E)\) where \( V \) is a finite set and \( E \) is a set of subsets of \( V \), called the edges (or hyperedges) of \( H \). The elements of \( V \) are called the vertices of \( H \). The number of vertices and edges of \( H \) are denoted here by \( n(H) \) and \( |H| \) respectively; they are called the order and the size of \( H \). We denote by \( Min(H) \) the hypergraph whose vertex set is \( V \) and whose hyperedges are the minimal elements of the poset \((E, \subseteq)\). The hypergraph \( H \) is said simple if none of its hyperedges is contained in another, that is, whenever \( Min(H) = H \) holds.

We denote by \( Tr(H) \) the hypergraph whose vertex set is \( V \) and whose hyperedges are the minimal elements of the poset \((T, \subseteq)\) where \( T \) consists of all subsets \( A \) of \( V \) such that \( A \cap E \neq \emptyset \) holds for all \( E \in E \). We call \( Tr(H) \) the transversal of \( H \). Let \( H' = (V, E') \) and \( H'' = (V, E'') \) be two hypergraphs. We denote by \( H' \cup H'' \) the hypergraph whose vertex set is \( V \) and whose hyperedges are the \( E' \cup E'' \) for all \((E', E'') \in E' \times E''\). The following proposition [2] is the basis of most algorithms for computing the transversal of a hypergraph.

**Proposition 2.** For two hypergraphs \( H' = (V, E') \) and \( H'' = (V, E'') \) we have

\[
Tr(H' \cup H'') = Min(Tr(H') \cup Tr(H'')).
\]

All popular algorithms for computing transversal hypergraphs, see [12, 16, 1, 7, 17], make use of the formula in Proposition 2 in an incremental manner. That is, when \( E' = E_1, \ldots, E_m \) and \( H_{i-1} = (V, \{E_1, \ldots, E_i\}) \) for \( i = 1 \ldots m \), these algorithms compute \( Tr(H_{i+1}) \) from \( Tr(H_i) \) as follows:

\[
Tr(H_{i+1}) = Min(Tr(H_i) \cup (V, \{v \mid v \in E_{i+1}\})).
\]

The differences between these algorithms consist of various techniques to minimize the construction of unnecessary intermediate hyperedges. While we believe that these techniques are all important, we propose to apply Berge’s formula à la lettre, that is, to divide the input hypergraph \( H \) into hypergraphs \( H', H'' \) of similar sizes and such that \( H' \cup H'' = H \). Our intention is to create opportunity for parallel execution. At the same time, we want to control the intermediate expression swell resulting from the computation of \( Tr(H) \cup Tr(H') \).

To this end, we compute this expression in a divide-and-conquer manner and apply the Min operator to the intermediate results.

**Algorithm 9: Parallel Transversal**

**Input:** A hypergraph \( H \)

**Output:** \( Tr(H) \)

1. if \(|H| \leq |Tr(BASE, MIN)| \) then
2. return \( SerialTransversal(H) \);
3. \( (H', H'') \leftarrow Split(H) \);
4. \( H' \leftarrow spawn \, ParallelTransversal(H') \);
5. \( H'' \leftarrow spawn \, ParallelTransversal(H'') \);
6. sync;
7. return \( ParallelHypMerge(H', H'') \);

**Algorithm 10: ParallelHypMerge**

**Input:** \( H, K \) such that \( Tr(H) = H \) and \( Tr(K) = K \).

**Output:** \( Min(Tr(H \cup K)) \)

1. if \(|H| \leq |MERGE\, HYP\, BASE \) and \(|K| \leq |MERGE\, HYP\, BASE \) then
2. return \( SerialHypMerge(H, K) \);
3. else if \(|H| > |MERGE\, HYP\, BASE \) and \(|K| > |MERGE\, HYP\, BASE \) then
4. \( H' = Split(H) \);
5. \( K' = Split(K) \);
6. \( H'' = ParallelHypMerge(H', K') \);
7. \( K'' = ParallelHypMerge(H'', K'') \);
8. return \( Union(ParallelMinMerge(L, M)) \);
9. else if \(|H| > |MERGE\, HYP\, BASE \) and \(|K| \leq |MERGE\, HYP\, BASE \) then
10. \( H' = Split(H) \);
11. \( M = ParallelHypMerge(H', K) \);
12. return \( Union(ParallelMinMerge(M, L)) \);
13. else if \(|H| \leq |MERGE\, HYP\, BASE \) and \(|K| > |MERGE\, HYP\, BASE \) then
14. \( K' = Split(K) \);
15. \( M = ParallelHypMerge(H, K') \);
16. return \( Union(ParallelMinMerge(M, L)) \);
17. else
18. return \( Union(ParallelMinMerge(M, L)) \);
19. end

**Algorithm 11: HalfParallelHypMerge**

**Input:** four hypergraphs \( H, K, L, M \)

**Output:** \( Min(Tr(H \cup K) \cup Min(L \cup M)) \)

1. \( N = spawn \, ParallelHypMerge(K, H) \);
2. \( P = spawn \, ParallelHypMerge(L, M) \);
3. sync;
4. return \( Union(ParallelMinMerge(N, P)) \);
Algorithm 9 is our main procedure. Similarly to Algorithm 2, it proceeds in a divide-and-conquer manner with a threshold. For the base case, we call SerialTransversal(\(H\)), which can implement any serial algorithms for computing the transversal of hypergraph \(H\). When the input hypergraph is large enough, then this hypergraph is split into two so as to apply Proposition 2 with the two recursive calls performed concurrently. When these recursive calls return, their results are merged by means of Algorithm 10.

Given two hypergraphs \(H\) and \(K\), with the same vertex set, satisfying \(\text{Tr}(H) = H\) and \(\text{Tr}(K) = K\), the operation ParallelHypMerge of Algorithm 10 returns \(\text{Min}(H \lor K)\). This operation is another instance of an application where the poset can be so large that it is desirable to compute its minimal elements concurrently to the generation of the poset itself, thus avoiding storing the entire poset in memory. As for the application described in Section 4, one can indeed efficiently generate the elements of the poset and compute its minimal elements simultaneously.

The principle of Algorithm 10 is very similar to that of Algorithm 3. Thus, we should simply mention two points. First, Algorithm 10 uses a subroutine, namely HalfParallelHypMerge of Algorithm 11, for clarity. Secondly, the base case of Algorithm 10, calls SerialHypMerge(\(H, K\)), which can implement any serial algorithms for computing \(\text{Min}(H \lor K)\).

We have implemented our algorithms in Cilk++ and benchmarked our code with some well-known problems on the same 32-core machine reported in Section 3. An implementation detail which is worth to mention is data representation. We represent each hyperedge as a bit-vector. For a hypergraph with \(n\) vertices, each hyperedge is encoded by \(n\) bits. By means of this representation, the operations on the hyperedges such as inclusion test and union can be reduced to bit operations. Thus, a hypergraph with \(m\) edges is encoded by an array of \(mn\) bits. Traversing the hyperedges is simply by moving pointers to the bit-vectors in this array.

Our test problems can be classified into three groups. The first one consists of three types of large examples reported in [16]. We summarize their features and compare the timing results in Table 1. A scalability analysis for the three large problems in data mining on a 32-core machine is illustrated in Figure 3. The second group considers an enumeration problem (Kuratowski hypergraphs), as listed in Table 2 and Figure 4. The third group is Lovasz hypergraphs [2], reported in Table 3. The sizes of the three base cases used here (TR.BASE, MERGE.HYP.BASE and MIN.MERGE.BASE) are respectively 32, 16 and 128. Our experimentation shows that the base case threshold is an important influential factor on performance. In this work, they are determined by our test runs. To predict the feasible values based on the type of a poset and the hierarchical memory of a machine would definitely help. We shall develop a tool for this purpose when we deploy our software.

In Table 1, we describe the parameters of each problem following the same notation as in [16]. The first three columns indicate respectively the number of vertices, \(n\), the number of hyperedges, \(m\), and the number of minimal transversals, \(t\). The problems classified as Threshold, Dual Matching and Data Mining are large examples selected from [16]. We have used the t.hg, a Linux executable program developed by Kavvadias and Stavropoulos in [16] for their algorithm (namely KS), to measure the time for solving these problems on our machine. We observed that the timing results of t.hg on our machine were very close to those reported in [16]. Thus, we show here the timing results (seconds) presented in [16] in the fourth column (KS) in our Table 1. From the comparisons in [16], the KS algorithm outperforms the algorithm of Fredman and Khachiyan as implemented by Boros et al. in [3] (BEGK) and the algorithm of Bailey et al. given in [1] (BMR) for the Dual Matching and Threshold graphs. However, for the three large problems from data mining, the KS algorithm is about 30 to 60 percent slower than the best ones between BEGK and BMR.

In the last three columns in Table 1, we report the timing (seconds) of our program for solving these problems using 1 core and 32 cores, and the speedup factor on 32-core w.r.t. on 1-core. On 1-core, our method is about 6 to 18 times faster for the selected Dual Matching problems and the large problems in data mining. Our program is particularly efficient for the Threshold graphs, for which it takes only about 0.01 seconds for each of them, while t.hg took about 11 to 82 seconds. In addition, our method shows significant speedup on multi-cores for the problems of large input size. As shown in Figure 3, for the three data mining problems, our code demonstrates linear speedup on 32 cores w.r.t. the timing of the same algorithm on 1 core.

There are three sets of hypergraphs in [16] on which our method does not perform well, namely Matching, Self-Dual Threshold and Self-Dual Fano-Plane graphs. For these examples our code is about 2 to 50 times slower than the KS algorithm presented in [16]. Although the timing of such examples is quite small (from 0.01 to 178 s), they demonstrate the efficient techniques used in [16].

In cooperating such techniques into our algorithm is our future work.

<table>
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<tr>
<th>Instance parameters</th>
<th>KS</th>
<th>Parallel transversal</th>
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<tr>
<td>n, m, t</td>
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### Table 1: Examples from [16]

The first family of classical hypergraphs that we have tested is related to an enumeration problem, namely the Kuratowski \(K_n^c\) hypergraphs. Table 2 gives two representative ones. This type of hypergraphs are defined by two parameters \(n\) and \(r\). Given \(n\) distinct vertices, such a hypergraph contains all the hyperedges that have exactly \(r\) vertices. Our program achieves linear speedup on this class of hypergraphs with sufficiently large size, as reported in Table 2 and Figure 4 for \(K^c_{10}\) and \(K^c_{16}\). We have also used the t.hg program provided by the Authors of [16] to solve these problems. The timing for solving \(K^c_{10}\) by the t.hg program is about 6500 seconds, which is about 70 times slower than our ParallelTransversal on 1-core. For the case of \(K^c_{16}\) and \(K^c_{32}\), it did not finish after running for more than 15 hours.

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<th>Instance parameters</th>
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<th>Parallel transversal</th>
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<td>n, t</td>
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### Table 2: Tests for the Kuratowski hypergraphs

Another classical hypergraph is the Lovasz hypergraph, which is defined by a positive integer \(r\). Consider \(r\) finite disjoint sets \(X_1, \ldots, X_r\) such that \(X_j\) has exactly \(j\) elements, for \(j = 1 \cdots r\).
The Lovasz hypergraph of rank $r$, denoted by $L_r$, has all its hyperedges of the form

$$X_j \cup \{x_{j+1}, \ldots, x_r\},$$

where $x_{j+1}, \ldots, x_r$ belong respectively to $X_j, \ldots, X_r$, for $j = 1 \ldots r$. We have tested our implementation with the Lovasz hypergraphs up to rank 10. For the problem of rank 9, we obtained 25 speedup on 32-core. For the one of rank 10, due to time limit, we only obtained the timing on 32-core and 16-core, which shows a linear speedup from 16 cores to 32 cores. The $\tau h g$ program solves the problem of rank 8 in 8000 seconds. For the problems of rank 9 and 10, the $\tau h g$ program did not complete after running for more than 15 hours.

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<th>KS</th>
<th>Parallel Transversal</th>
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Table 3: Tests for the Lovasz hypergraphs
6. CONCLUDING REMARKS

In this paper, we have proposed a parallel algorithm for computing the minimal elements of a finite poset. Its implementation in Cilk++ on multi-cores is capable of processing large posets that a serial implementation could not process. Moreover, for sufficiently large input data set, our code reaches linear speedup on 32 cores.

We have integrated our algorithm into two applications. One is polynomial expression optimization and the other one is the computation of transversal hypergraphs. In both cases, we control intermediate expression swell by generating the poset and computing its minimal elements concurrently. Our Cilk++ code for computing transversal hypergraphs is competitive with the implementation reported by Kavvadias and Stavropoulos in [16]. Moreover, our code outperforms the one of our colleagues on three sets of large input problems, in particular the problems from data mining. However, our code is slower than theirs on other data sets. In fact, our code is a preliminary implementation, which simply applies Berge’s formula in a divide-and-conquer manner. We still need to enhance our implementation with the various techniques which have been developed for controlling expression swell in transversal hypergraph computations [12, 16, 1, 7, 17].

We are extending the work presented in this paper in different directions. First, we would like to obtain a deeper complexity analysis of our algorithm for computing the minimal elements of a finite poset. Secondly, we are adapting this algorithm to the computation of GCD-free bases and the removal of redundant components.

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7. REFERENCES


